

FORM PTO 1390
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
2005_0455ATRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371U.S. APPLICATION NO.
(if known, see 37 CFR 1.5)
NEWInternational Application No.
PCT/JP2003/011856International Filing Date
September 17, 2003Priority Date Claimed
September 17, 2002Title of Invention
SILICON COMPOUND

Applicant(s) For DO/EO/US

Mikio YAMAHIRO, Hisao OIKAWA, Kazuhiro YOSHIDA, Kenya ITO, Yasuhiro YAMAMOTO, Masami TANAKA,
Nobumasa OOTAKE, Kenichi WATANABE, Kohji OHNO, Yoshinobu TSUJII and Takeshi FUKUDA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
NEW

INTERNATIONAL APPLICATION NO.
PCT/JP2003/011856

ATTORNEY'S DOCKET NO.
2005 0455A

15. [X] The following fees are submitted

Basic National Stage Fee \$0.00
National Stage Search Fee \$0.00
National Stage Examination Fee \$0.00
Specification/drawings in excess of 100 pages (units of 50 x \$250.00) = \$

CALCULATIONS

PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$0

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$130.00

Claims	Number Filed	Number Extra	Rate
Total Claims	-20 =		X \$50.00
Independent Claims	- 3 =		X \$200.00
Multiple dependent claim(s) (if applicable)			+ \$360.00

\$

\$

\$

TOTAL OF ABOVE CALCULATIONS =

\$130.00

☐ Small Entity Status is hereby asserted. Above fees are reduced by 1/2.

\$

SUBTOTAL =

\$130.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$

TOTAL NATIONAL FEE =

\$0

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +

\$40.00

TOTAL FEES ENCLOSED =

\$170.00

Amount to be refunded

\$

Amount to be charged

\$

a. [X] A check in the amount of \$170.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed.

b. ☐ Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

04/07/2005 SNAJARRO 00000073 10501813

01 C:1617

130.00 OP

By: 

Amy E. Pulliam,
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April 5, 2005

[CHECK NO. 67546]

[2005_0455A]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Toshio NARITA et al. ATTENTION: BOX MISSING PARTS

Serial No.: 10/501,813

Filed: July 19, 2004

Confirmation No.: 6606

For: METHOD FOR FORMING Re ALLOY COATING FILM HAVING HIGH
Re CONTENT THROUGH ELECTROPLATING

Attorney Docket Number: 042393
Customer Number: 38834

RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Date: April 5, 2005

Dear Sir:

In response to the Notice to File Missing Requirements of Application, dated March 2, 2005, enclosed for filing is an executed Declaration and Power of Attorney for the above-identified patent application.

Please note that the surcharge fee of \$130.00 for filing the late Declaration was paid at the initial filing (i.e. July 19, 2004). The fees, enclosed at initial filing on July 19, 2004 were \$1340.00. (\$920.00 for basic filing fees, \$290.00 for multiple dependent claims and \$130.00 for filing the late Declaration)

In the event that any additional fees are due with this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



Sadao Kinashi

Registration No. 48,075
Telephone: (202) 822-1100
Facsimile: (202) 822-1111

SK/II
Attachment: Declaration

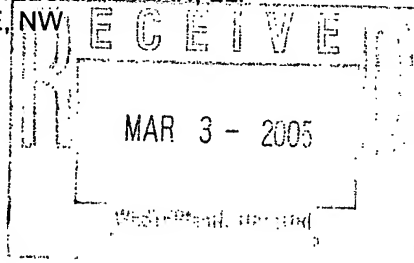


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/501,813	FIRST NAMED APPLICANT Toshio Narita	ATTY. DOCKET NO. 042393
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38834
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
1250 CONNECTICUT AVENUE, NW
SUITE 700
WASHINGTON, DC 20036



INTERNATIONAL APPLICATION NO. PCT/JP03/00354	
I.A. FILING DATE 01/17/2003	PRIORITY DATE 01/18/2002

CONFIRMATION NO. 6606

371 FORMALITIES LETTER



OC000000015316426

Date Mailed: 03/02/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/19/2004
- English Translation of the IA filed on 07/19/2004
- Copy of the International Search Report filed on 07/19/2004
- Information Disclosure Statements filed on 07/19/2004
- Request for Immediate Examination filed on 07/19/2004
- U.S. Basic National Fees filed on 07/19/2004
- Priority Documents filed on 07/19/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

DOCKETING

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

INITIALS: DP
DATE DOCKETED: 3-3-03
TYPE OF RESPONSE: 2 mos
DUE DATE: May 2, 2005

A copy of this notice **MUST** be returned with the response

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/501,813	PCT/JP03/00354	042393

FORM PCT/DO/EO/905 (371 Formalities Notice)